

Vontobel

Vontobel Swiss Wealth Advisors

# Swiss Values for North American Investors



# Vontobel Swiss Wealth Advisors is dedicated to servicing North American investors seeking internationally diversified wealth management solutions



Matterhorn, Switzerland

## Swiss strength, Swiss outlook – and North American perspective

Headquartered in Zurich, Switzerland, the world capital of Wealth Management, Vontobel Swiss Wealth Advisors AG is a wholly-owned subsidiary of the widely-respected Vontobel Holding AG. The listed company, which is family-owned, was founded in Zurich in 1924. Vontobel Swiss Wealth Advisor's experts offer services specifically for North American investors.

With our market-centric service model, we meet the specific wishes and requirements of our clients in the US and Canada. As an innovative partner with extensive investment expertise, we fully leverage the strengths of our multicurrency platform for our clients, seeking for them the best opportunities for global diversification.

We understand the needs of North American investors. Our company is registered as an Investment Adviser with the US Securities and Exchange Commission (SEC) and acts as an Exempt International Adviser in the Canadian provinces of Alberta, British Columbia, Ontario and Québec. We service our US persons and Canadian permitted clients with the qualities that make the Swiss financial center legendary around the world: strengths such as stability and continuity, service excellence, global reach, integrity and confidentiality. In short, our clients benefit from our ability to customize wealth management solutions to meet their individual investment requirements. In addition, as a Swiss financial services firm, Vontobel Swiss Wealth Advisors provides clients security and financial privacy.

## Investment minimums

- Investment Management Program: CHF 750,000
- Investment Management Program (Focus mandates): CHF 1,000,000
- Client Directed Advisory Program: CHF 1,000,000
- Client Directed Precious Metals Advisory Program: CHF 1,000,000

## Vontobel

At Vontobel, we actively shape the future. We create and pursue opportunities with determination. This is how we stay on course amid changing financial markets – determined to help our clients meet their investing goals.

As a globally operating financial expert with Swiss roots, we specialize in wealth management, active asset management and investment solutions. We empower our colleagues to take ownership of their work and bring opportunities to life. Because we are convinced that successful investing starts with assuming personal responsibility. We relentlessly question the achieved, striving to exceed the goals and expectations of our clients.

The registered shares of Vontobel Holding AG are listed on the SIX Swiss Exchange. The Vontobel families' close ties to the company guarantee our entrepreneurial independence. We consider the resulting freedom an obligation to assume social responsibility as well.

# Client satisfaction and trust are key values for our firm

## Our Solutions

Dedicated to North Americans, Vontobel Swiss Wealth Advisors represents one of the select few Swiss financial institutions which was specifically established to meet the legal and regulatory requirements of investors who are US persons and Canadian permitted clients.

We offer investment solutions for the diversification of your portfolio through investments and currencies combined with geographic separation of your assets. Clients of Vontobel Swiss Wealth Advisors have the option to custody their assets in Switzerland or the US, or in both jurisdictions.

- Investment Management services, including Focus mandates
- Client Directed Advisory services
- Multi-currency capabilities
- Global diversification
- Ability to custody assets in Switzerland and/or the US
- US and Canadian tax reporting by custodian

### Discipline and diversification

Our transparent and disciplined investment process allows us to identify investments from a global universe and select the ones that we believe will best meet your personal needs. Vontobel Swiss Wealth Advisors will provide you the dual benefits of global diversification within your portfolio plus geographic separation of your assets.

## Our Advisory Process

### It's all about you

Vontobel Swiss Wealth Advisors places exceptional importance on the client relationship. We value our discussions concerning your personal situation, life circumstances and objectives.

### From analysis to solutions

We strive to find a personalized solution for you. Together we will define a solution that best meets your needs.



#### 1. Understanding your objectives

We analyze your personal and financial situation to better understand your investment objectives and risk profile.



#### 2. Agreeing on your strategy

We evaluate and agree on an offering that best meets your financial and personal circumstances.



#### 3. Pursuing your objectives

We implement the selected strategy after identifying suitable investments for you. We will provide performance reports on a regular basis.



#### 4. Reviewing your objectives

We review your portfolio and objectives regularly, making any necessary and appropriate changes.

### Our Wealth Management approach

Vontobel Swiss Wealth Advisors follows an active investment philosophy. We utilize first-class research capabilities alongside a structured and disciplined investment process all while actively seeking to identify opportunities presented by the markets.

Our experienced investment specialists are responsible for managing your assets. Based on your personal investment objectives, and taking due account of your individual risk tolerance and risk capacity, we devise and implement a personal investment strategy for you. Individual investments are then evaluated by our team of investment experts. As our client, you also benefit from the knowledge and expertise of Vontobel.

Our investment management offering at Vontobel Swiss Wealth Advisors comprises different investment strategies that reflect your personal objectives and risk profile. Our flexible investment approach leads to tailored solutions for our clients.

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A member of the Vontobel Group and  
a SEC registered investment advisor

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